

# Combined Economic Emission Dispatch Problem of Thermal Generating Units Using Particle Swarm Optimization

Anurag Gupta, K.K. Swarnkar, Dr. S. Wadhvani, Dr.A.K.Wadhvani

**Abstract-** In this paper particle swarm optimization (PSO) algorithm is proposed to optimize Combined Economic emission Dispatch Problem (CEEDP) of thermal units. Combined Economic emission Dispatch Problem (CEEDP) is used to minimize both total fuel cost of generation and the emission of toxic gases of the thermal generating units simultaneously while satisfying the load demand and constraints of the system which makes it multi-objective problem. The bi-objective problem is converted into single objective problem by introducing price penalty factor to maintain an acceptable system performance in terms of limits on generator real power outputs, transmission losses with minimum emission dispatch. In this paper, the proposed algorithm has been applied to a standard IEEE 30-bus test system with six generating units and three generating units. The results obtained with the proposed approach are compared with results of genetic algorithm and other technique.

**Index Terms-** Economic Load Dispatch, Economic Emission Dispatch, combined Economic Emission Dispatch, Particle Swarm Optimization.

## I. INTRODUCTION

In this paper, the work explores the economic thermal power dispatch problem with emission dispatch due to toxic gases. In seeking the solution for the combined economic emission dispatch problem (CEEDP) the main aim is to operate a power system in such a way to supply all the loads at the minimum fuel cost of generation and environmental pollution caused by emission of toxic gases of fossil based thermal generating units. An efficient and reliable solution which is applied to the CEEDP with minimum cost and minimum  $\text{NO}_x$  emission is particle swarm optimization (PSO) method. In electric power system operation, the objective is to achieve the most economical generation policy that could supply the local demands without violating constraints. Thermal stations, during power production, burn fossil fuels that generate toxic gases in their effluent and these become a source of pollution for the environment. The CEEDP calculation optimizes the static operating condition of a power generation-transmission system with security of quality of service. One of the most recent metaheuristic algorithms, the Particle Swarm Optimization (PSO), is a population based stochastic optimization technology [1, 2] by Eberhart and Kennedy in 1995, inspired by social behaviour of bird flocking and fish schooling. It is used for optimization of continuous non

linear functions. PSO is applied to different areas of power systems to minimize real power system losses [3]. The PSO is a swarm intelligence algorithm, inspired by the social dynamics and emergent behaviour that arises in socially organized colonies. The PSO algorithm exploits a population of individuals to probe promising regions of search space. In this context, the population is called swarm, and the individuals are called particles or agents. Each particle moves with an adaptable velocity within the regions of search space and retains a memory of the best position it ever encountered. The best position ever attained by each particle of the swarm is communicated to all other particles [4].

The concept of PSO originated as a simulation of a simplified social system. This method is based on researches about swarms such as fish schooling and a flock of birds. According to the research results for a flock of birds, birds find food by flocking (not by each individual). According to the observation of behaviour of people during a decision process, people utilize two important kinds of information. The first one is their own experience; that is, they have tried the choices and know which state has been better so far, and they know how good it was. The second one is other people's experiences; that is, they have knowledge of how the other individuals (agents) around them have performed. They know which choices of their neighbours have been found as more positive and also the positiveness of the pattern. Each agent decides the decision using individual experiences and other people's experiences [5].

In recent years, environmental constraint started to be considered as part of electric system planning. That is, minimization of pollution emission ( $\text{NO}_x$ ,  $\text{SO}_x$ ,  $\text{CO}_2$ , etc.) in case of thermal generation power plants. However, it became necessary for power utilities to count this. Constraint as one of the main objectives, which should be solved together with the cost problem. Thus, we are faced with a multi-objective problem.

Spens and Lee [6] solved the economic load dispatch under environmental restrictions in a multi-hour time horizon minimizing fuel consumption cost for  $\text{SO}_2$  and  $\text{NO}_x$  using an emission ton limit for the first one and an emission rate for the second one. Fan and Zhang [7] solved a cost minimization problem proposing a solution via quadratic programming, where environmental restrictions are modelled with linear inequalities.

In a previous paper [8,9], the authors proposed the use of a genetic algorithm with real coding on the CEEDP problem using as objective function the minimization of the fuel cost

and NOx emission control. More than 6 small-sized test cases were used to demonstrate the performance of the proposed algorithm. Consistently acceptable results were observed. In a recent paper [10, 11], the authors presented the application of the Particle Swarm Optimization (PSO) method to the Optimal Power Flow problem for a large scale power system. The objective function considers at the same time the cost of the power generation, the transmission loss and the voltage deviation. In this paper, Numerical results for IEEE 30-bus test systems with six generating units and with three generating units show that a PSO technique can generate an efficiently high quality solution and with more stable convergence characteristics than genetic algorithms (GA).

## II. PROBLEM FORMULATION

The optimization of cost of generation has been formulated based on combined economic dispatch with emission and line flow constraints. For a given power system network, the optimization cost of generation is given by the following equation.

**a. Economic Objective Function :** The most commonly used objective ‘Economic Load Dispatch with pollution’ problem formulation is the minimization of the total operating cost of the fuel consumed for producing electric power within a schedule time interval.

$$F = \sum_{i=1}^{N_g} (a_i + b_i P_{g_i} + c_i P_{g_i}^2) \quad (1)$$

where

$F$ -is fuel cost of  $i^{th}$  generator in \$/hr.

$P_{g_i}$ -is the generator power output of  $i^{th}$  generator in MW.

$i$ - represents the corresponding generator (1,2,...,n)

$N_g$ - represents number of generators.

$a_i, b_i, c_i$ - are the fuel cost coefficients.

The equation (1) is subjected to the following constraints:

1. The inequality constraints on real power generation  $P_{g_i}$  of each generation  $i$ .

$$P_{g_i}^{min} \leq P_{g_i} \leq P_{g_i}^{max} \quad (2)$$

Where  $P_{g_i}^{min}$  and  $P_{g_i}^{max}$  are respectively minimum and maximum values of real power generation  $i$ .

2. The cost is optimized with the following power system balance constraints.

$$\sum_{i=1}^{N_g} P_{g_i} = P_D + P_L \quad (3)$$

Where

$P_{g_i}$ -is the real power generation of  $i^{th}$  generator.

$P_D$  - is the load of the system in MW.

$P_L$  - is the transmission loss of the system in MW.

$N_g$ - is the total number of generators.

3. The total transmission network losses the power system is obtained by

$$P_L = B_{00} P_{g_i} + \sum_{i=1}^{N_g} \sum_{j=1}^{N_g} P_{g_i} B_{ij} P_{g_j} \quad (4)$$

Where

$B_{00}, B_{ij}, B_{ji}$  - are the transmission loss coefficients  $i, j$  represent the number of lines

**b. Emission objective function:** The total emission release can be expressed as –

$$E_i(P_{g_i}) = \alpha_i P_{g_i}^2 + \beta_i P_{g_i} + \gamma_i \quad (5)$$

Where

$E_i$  - Total emission release in kg/hr

$P_{g_i}$  - is the generator power output of the  $i^{th}$  generator in MW

$i$  – represents the corresponding generator (1,2,...,n)

$N_g$  - Total number of generator

$\alpha_i, \beta_i, \gamma_i$  - are  $NO_x$  emission coefficients.

To determine the combined effect of cost and emission, the price penalty factor has to be computed. It blends the generation and emission cost into single objective nature. The price penalty factor is computed by interpolating the values of  $h_i$  for last two units by satisfying the corresponding load demand and it is given by the relation (considering the power associated with each unit). The resulting array elements are arranged in ascending order, after arranging the maximum power of each unit is added one at a time starting from the smallest price penalty factor unit until the summation equals or exceeds the power demand. The price penalty factor at the unit when added exactly meets or exceeds the demand is represented as  $h_{i_2}$  and the price penalty factor of the previous unit is represented as  $h_{i_1}$  in recent analysis of venkatesh et al. have shown that this method of calculation of price penalty factor furnished good result and it is represented by the following equation-

$$Z = h_{i_1} + \left( \frac{h_{i_2} - h_{i_1}}{P_{max_2} - P_{max_1}} \right) * (P_D - P_{max_1}) \quad (6)$$

Where

$Z$  - Price penalty factor in \$/Kg.

$h_{i_1}$  - Price penalty factor associated with the last unit in \$/Kg.

$h_{i_2}$  - Price penalty factor with the current unit in \$/Kg.

$P_{max_1}$  - maximum power associated with the last unit in MW.

$P_{max_2}$  - maximum power associated with the current unit in MW.

**c. Total objective function:** The economic dispatch and emission dispatch are considerably different. The economic dispatch deals with only minimizing the total fuel cost (operating cost) of the system violating the emission constraints. On the other hand emission dispatch deals with only minimizing the total emission of  $NO_x$  from the system violating the economic constraints. Therefore it is necessary to find out an operating point, that strikes a balance between cost and emission. This is achieved by combined economic and emission dispatch (CEED). The multi-objective combined economic and emission dispatch problem is converted into single optimization problem by introducing price penalty factor  $Z$ .

$$\text{Minimize } \varphi = F + Z * E \text{ (Rs./hr)} \quad (7)$$

### III. PARTICLE SWARM OPTIMIZATION

#### a. Description of Particle Swarm Optimization method

Kennedy and Eberhart developed a PSO algorithm based on the behaviour of individuals (i.e., particles or agents) of a Swarm [11]. Its roots are in zoologist's modelling of the movement of individuals (i.e., fish, birds, and insects) within a group. It has been noticed that members of the group seem to share information among them, a fact that leads to increased efficiency of the group. The PSO algorithm searches in parallel using a group of individuals similar to other AI-based heuristic optimization techniques [12]. Each individual corresponds to a candidate solution to the problem. Individuals in a swarm approach to the optimum through its present velocity, previous experience, and the experience of its neighbours. The particle swarm optimization works by adjusting trajectories through manipulation of each coordinate of a particle. Let  $x_i$  and  $v_i$  denote the positions and the corresponding flight speed (velocity) of the particle  $i$  in a continuous search space, respectively.

The particles are manipulated according to the following equations.

$$v_i^{(t+1)} = w \cdot v_i^{(t)} + c_1 \cdot r_1 \cdot (x_{gbest}^{(t)} - x_i^{(t)}) + c_2 \cdot r_2 \cdot (x_{pbest}^{(t)} - x_i^{(t)}) \quad (8)$$

$$x_i^{(t+1)} = x_i^{(t)} + v_i^{(t+1)} \quad (9)$$

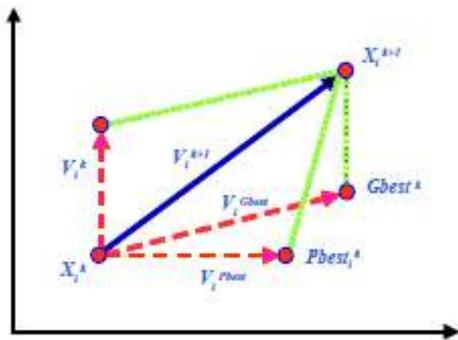


Fig. 2. Concept of modification of a searching point by PSO

Where:

t: pointer of iterations (generations).

w: inertia weight factor.

c1, c2: acceleration constant.

r1, r2: uniform random value in the range (0, 1). (t)

$v_i^{(t)}$ : Velocity of particle  $i$  at iteration  $t$ .

$x_i^{(t)}$ : current position of particle at iteration  $t$ .

$x_{pbest}^{(t)}$ : previous best position of particle  $t$  at iteration  $t$ .

$x_{gbest}^{(t)}$ : best position among all individuals in the population at iteration  $t$ .

$v_i^{(t+1)}$ : new velocity of particle  $i$ .

$x_i^{(t+1)}$ : new position of particle  $i$ .

#### b. PSO applied to ELDPP

Our objective is to minimize the objective function of the ELDPP defined by (6), taking into account the equality constraints and the inequality constraints.

The cost function implemented in PSO is defined as:

$$F(x) = \alpha \cdot [\sum_{i=1}^{ng} (a_i + b_i P_{gi} + c_i P_{gi}^2)] + (1 - \alpha) \cdot [w \cdot \sum_{i=1}^{ng} (a_i + b_i P_{gi} + c_i P_{gi}^2 + d_i e^{P_{gi}^2})] \quad (10)$$

To minimize  $F$  is equivalent to getting a maximum fitness value in the searching process. The particle that has lower cost function should be assigned a larger fitness value. The objective of OPF has to be changed to the maximization of fitness to be used as follows:

$$fitness = f_{max} / F \quad (11)$$

Where,

$f_{max}$ : the maximum of  $F$ , ( $P_{gi} = P_{gi_{max}}$ )

The search of the optimal control vector is performed using into account the real power flow equation defined by (7) which present the system transmission losses ( $P_{loss}$ ). These losses can be approximated in terms of  $B$  coefficients as [34]:

$$P_{loss} = \sum_{i=1}^{ng} \sum_{j=1}^{ng} P_{gi} \cdot B_{ij} \cdot P_{gj} \quad (12)$$

The  $B_{ij}$  coefficients are obtained from a power flow solution. These losses are introduced in the represented as a penalty vector given by:

$$pf = \left(1 - \frac{\partial P_{loss}}{\partial P_g}\right)^{-1} \quad (13)$$

In this method only the inequality constraints on active powers are handled in the cost function. The other inequality constraints are scheduled in the load flow process. Because the essence of this idea is that the inequality constraints are partitioned in two types of constraints, active constraints that affect directly the objective function are checked using the PSO-OPF procedure and the reactive constraints are updating using an efficient Newton Raphson Load flow (NR) procedure. Our objective is to search ( $P_{gi}$ ) set in their admissible limits to achieve the optimization problem. At initialization phase, ( $P_{gi}$ ) is selected randomly between  $P_{gi_{min}}$  and  $P_{gi_{max}}$ . After the search goal is achieved, or an allowable generation is attained by the PSO algorithm. It is required to performing a load flow solution in order to make fine adjustments on the optimum values obtained from the PSO procedure. This will provide updated voltages, angles and points out generators having exceeded reactive limits. to determining all reactive power of all generators and to determine active power that should be given by the slack generator taking into account the deferent reactive constraints. Examples of reactive constraints are the min and the max reactive rate of the generators buses and the min and max of the voltage levels of all buses. All these require a fast and robust load flow program with best convergence

properties. The developed load flow process is based upon the NR algorithm using the optimal multiplier technique [35, 36].

The PSO algorithm applied to ELDPP can be described in the following steps.

**Step 1:** Input parameters of system, and specify the lower and upper boundaries of each control variable.

**Step 2:** The particles are randomly generated between the maximum and minimum operating limits of the generators.

**Step 3:** Calculate the evaluation value of each particle using the objective function.

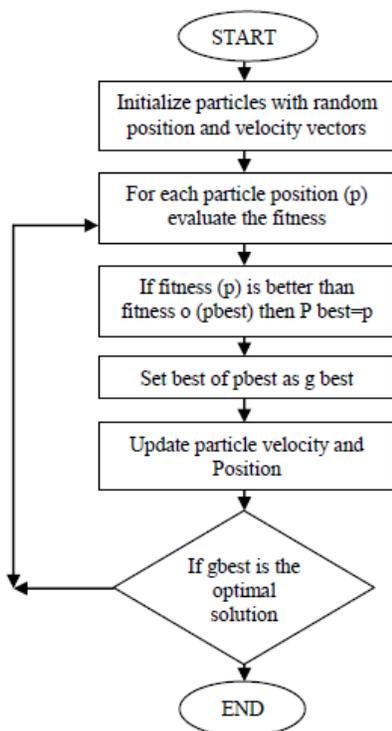
**Step 4:** Calculate the fitness value of objective function of each particle using (12).  $x_{ibest}$  is set as the  $i$ th particle's initial position;  $x_{gbest}$  is set as the best one of  $x_{ibest}$ . The current evolution is  $t=1$ .

**Step 5:** Initialize learning factors  $c1$ ,  $c2$ , inertia weight  $w$  and the initial velocity  $v1$ .

**Step 6:** Modify the velocity  $v$  of each particle according to (9).

**Step 7:** Modify the position of each particle according to (10). If a particle violates its position limits in any dimension, set its position at the proper limits. Calculate each particle's new fitness; if it is better than the previous  $x_{gbest}$ , the current value is set to be  $x_{gbest}$ .

**Step 8:** To each particles of the population, employ the Newton- Raphson method to calculate power flow and the transmission loss.



**Fig1. Flow chart for Particle Swarm Optimization application to Economic load dispatch**

**Step 9:** Update the time counter  $t= t+1$ .

**Step 10:** If one of the stopping criteria is satisfied then go to step 11.

Otherwise go to step 6.

**Step 11:** The particle that generates the latest  $p_{gbest}$  is the global optimum.

#### IV. NUMERICAL EXAMPLES AND SIMULATION RESULTS

The proposed algorithm is implemented in two test systems and its performance is compared to other optimization techniques like GA, and other conventional methods.

##### a) Test System I

The IEEE 30- bus system with 6 generators is presented here. The total load was 700 MW. Upper and lower active power generating limits and the unit costs of all generators of the IEEE 30-bus test system are presented in Table 1 (a).

**Table.1**  
**Power generation limits and cost coefficients for Six Generator unit systems**  
**a. For Economic dispatch**

Unit	$a$ \$/hr	$b$ \$/MW.hr	$c$ \$/MW <sup>2</sup> .hr	$P_{gmin}$ (MW)	$P_{gmax}$ (MW)
01	756.79886	38.5397	0.15247	10	125
02	451.33513	46.1592	0.10587	10	150
03	1049.9977	40.3964	0.02803	35	225
04	1243.5311	38.3055	0.03546	35	210
05	1658.5596	36.3278	0.02111	130	325
06	1356.6592	38.2714	0.01799	125	315

The NOx emission characteristics of generators are grouped in Table 1 (b).

##### b. For emission Dispatch

Unit	$\beta$	$\eta$
<b>01</b>	0.00491	0.32767
<b>02</b>	0.00419	0.32767
<b>03</b>	0.00683	-0.54551
<b>04</b>	0.00683	-0.54551
<b>05</b>	0.00461	-0.514116
<b>06</b>	0.00461	-0.514116

The results including the generation cost, the emission level and power losses are compared for economic dispatch and emission dispatch using Particle Swarm Optimization (PSO).

**Table.2**  
**Comparison For Economic & Emission Results For PSO**

Dispatch	Economic Dispatch	Emission Dispatch
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<b>P<sub>1</sub> (MW)</b>	30.712	80.3178
<b>P<sub>2</sub> (MW)</b>	18.681	83.4732
<b>P<sub>3</sub> (MW)</b>	130.568	111.0704
<b>P<sub>4</sub> (MW)</b>	134.288	116.6904
<b>P<sub>5</sub> (MW)</b>	206.088	157.919
<b>P<sub>6</sub> (MW)</b>	198.252	167.0772
<b>P<sub>L</sub> (MW)</b>	18.581	16.536
<b>P<sub>D</sub> (MW)</b>	700	700
<b>Fuel Cost (\$/hr)</b>	1663066.3	1715938.0
<b>Emission Release (kg./hr)</b>	480.174	432.048

This table gives the optimum generations for minimum total cost for six generating units in three cases: minimum generation cost without using into account the emission level as the objective function ( $\alpha=1$ ), a total minimum emission is taken as the objective of main concern ( $\alpha=0$ ), and at last combined economic emission dispatch ( $0<\alpha<1$ ). As seen by the optimal results shown in the table 3, there is a trade off between the fuel cost minimum and emission level minimum. The difference in generation cost between these two cases 48,411 \$/hr (1726402.5 \$/hr compared to 1677991.5 \$/hr), in real power loss 6.33MW (26.57 MW compared to 20.24 MW) and in emission release 54.382 kg./hr (495.348 kg./hr compared to 437.966 kg./hr) clearly shows this trade-off. The penalty factor is considered as  $Z=2015.46$ \$/hr for 700 MW.

**Table 3**

**Results of minimum total cost for IEEE 30-bus system in three cases**

**Case I. For Economic Dispatch**

Method	Conventional	GA	PSO
<b>Fuel Cost (\$/hr)</b>	1677991.5	1671208.2	1663068.1
<b>Emission Release (kg./hr)</b>	495.348	489.559	494.9329
<b>P<sub>L</sub> (MW)</b>	26.570	23.124	19.164
<b>Execution Time (sec.)</b>	0.25	1.21	1.16

**Case II. For Emission Dispatch**

Method	Conventional	GA	PSO
<b>Fuel Cost (\$/hr)</b>	1726402.5	1718388.0	1715940.0
<b>Emission Release (kg./hr)</b>	437.966	435.075	434.130
<b>P<sub>L</sub> (MW)</b>	20.240	17.366	16.551
<b>Execution Time (sec.)</b>	0.26	1.21	1.32

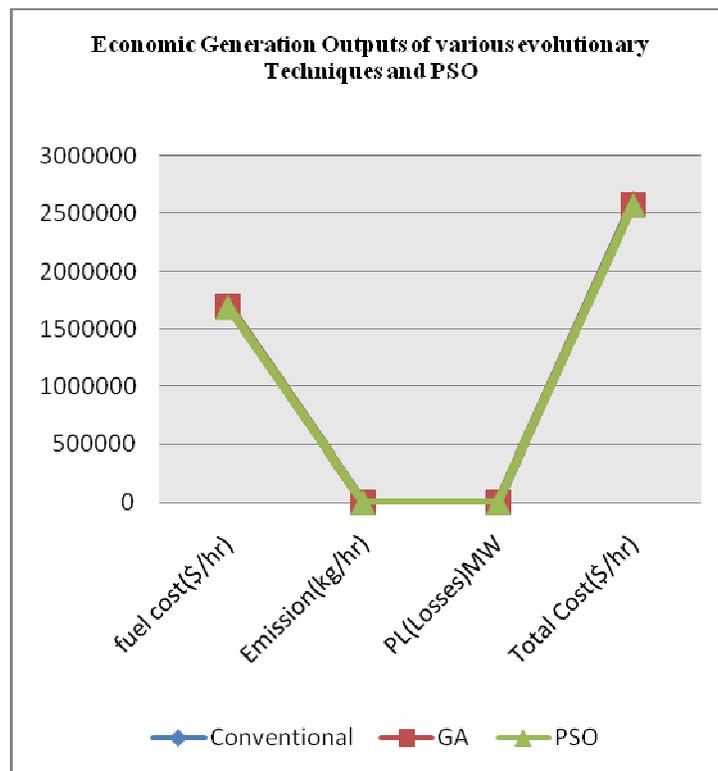
**Case III. For Combined Economic Emission Dispatch**

Method	Conventional	GA	PSO
<b>Fuel Cost (\$/hr)</b>	1700185.5	1671208.2	1663068.1
<b>Emission Release</b>	442.255	489.559	494.9329

<b>(kg./hr)</b>			
<b>P<sub>L</sub> (MW)</b>	21.17	23.124	19.164
<b>Total Cost (\$/hr)</b>	2592167.25	2580578.55	2574855.00

The best solution is approached by PSO as shown in fig.b. In this figure the comparison of all outputs is compared with other evolutionary techniques.

**Fig. (b) Economic Generation Outputs of various evolutionary techniques and PSO**



**PSO Based Combined Economic Emission Dispatch-**

In the proposed approach the minimum solution is obtained for PSO based combined economic emission dispatch with line flow constraints for IEEE 30 BUS system. The line flows in MVA of the best generation schedule for IEEE 30 BUS system were shown in table 4 for different power demand as  $P_D=500$  MW and price penalty factor  $Z=1954.4$  &  $P_D=900$  MW and price penalty factor  $Z=2152.99$ .

**Table. 4**

**Comparison between PSO and GA results for Combined Emission & Economic Load Dispatch for Different Demand**

P <sub>D</sub> (MW)	Performance	Conventional	GA	PSO
<b>500</b> <b>Z=1975.4</b>	Fuel cost(\$/hr)	1243723.	1246144.	1243710
	Emission (kg/hr)	5	9	263.263
	P <sub>L</sub> (MW)	262.454	263.472	8.65
	Total	8.53	10.135	1763775
	Cost(\$/hr)	1762177.	1766587.	

		5	5	
<b>900</b> <b>Z=2152.99</b>	Fuel cost(\$/hr)	2200180.	2185537.	2172735
	Emission (kg/hr)	5	5	695.383
	$P_L$ (MW)	701.428	694.172	
	Total	35.23	29.718	28.053
	Cost(\$/hr)	3709646.	3679398.	3669208
		1	0	

**b) Test system II**

The proposed method is tested with three generating units. The input data for standard for three unit system is given in Table (I) and Table (II).

**Table .5**  
**Coefficient of fuel cost of 3-Unit Test system**

Unit	$a_i$	$b_i$	$c_i$
<b>I</b>	561	7.92	0.001562
<b>II</b>	310	7.85	0.001940
<b>III</b>	78	7.97	0.004820

**Table.6**  
**Coefficient of Emission and capabilities of unit generators for 3-Unit Test System**

Unit	$\beta_i$	$\varpi_i$	$P_{min}$	$P_{max}$
<b>I</b>	300	0.0315	100	600
<b>II</b>	200	0.0420	100	400
<b>III</b>	150	0.0630	50	200

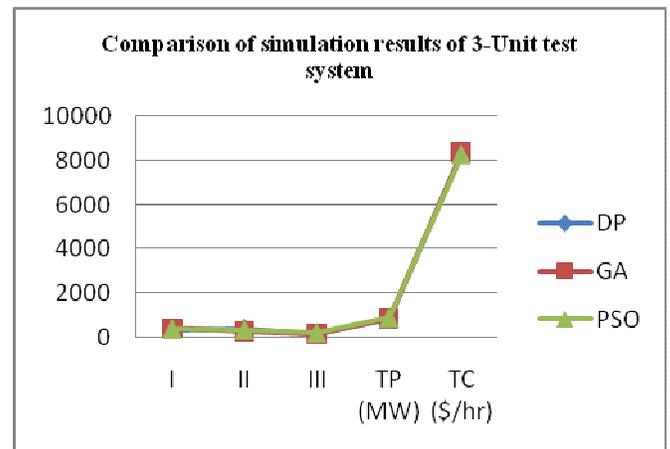
The simulation results of three unit test system are compared using proposed method with other techniques in table (III).

**Table.7**  
**Comparison of simulation results of ELDPE for 3-Unit test system**

Unit	DP	GA	PSO
<b>I</b>	328	434	362
<b>II</b>	374	277	324
<b>III</b>	167	158	183
<b>TP (MW)</b>	869	869	869
<b>TC (\$/hr)</b>	8407	8398	8277

The comparison of total fuel cost is shown in figure-3. As seen in tabulated results, the PSO can obtain lower fuel cost and emission release than GA method and resulting in higher quality solution.

**Fig.(c) Economic Generation Outputs of various evolutionary techniques and PSO**



**V. CONCLUSION**

This paper introduces a Particle Swarm Optimization algorithm to solve the economic power dispatch of power system with pollution control. The fuel cost and emission are combined in a single function with a difference weighting factor. The main advantage of PSO over other modern heuristics is modelling flexibility, sure and fast convergence, less computational time than other heuristic methods. PSO requires only a few parameters to be tuned, which makes it attractive from an implementation viewpoint. The feasibility of the proposed algorithm is demonstrated on an IEEE 30-bus system. The results show that the proposed algorithm is applicable and effective in the solution of OPF problems that consider nonlinear characteristics of power systems with different objective functions. PSO can generate an efficiently high quality solution and with more stable convergence characteristics than Genetic Algorithm.

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#### AUTHORS

**First Author** – Anurag Gupta is the M.E. student of MITS, Gwalior.

**Second Author** –K.K.Swarnkar is the Asstt. Professor in Electrical Deptt. In MITS, Gwalior.

**Third Author** – Dr. S. Wadhvani is the associated professor in Electrical Deptt. In MITS, Gwalior.

**Fourth Author** – Dr.A.K.Wadhvani is the professor in Electrical Deptt. in MITS, Gwalior. Email- [Anurag.gupta184@gmail.com](mailto:Anurag.gupta184@gmail.com)