

Some Generalizations of Enestrom –Kakeya Theorem

M. H. Gulzar

Department of Mathematics, University of Kashmir, Srinagar 190006
 E-mail: gulzarmh@gmail.com

Abstract- Many generalizations of the Enestrom –Kakeya Theorem are available in the literature. In this paper we give generalizations of some recent results on the subject.

Mathematics Subject Classification : 30C10, 30C15

Index Terms- Complex number, Polynomial, Zero, Enestrom –Kakeya Theorem

I. INTRODUCTION AND STATEMENT OF RESULTS

The following result known as the Enestrom –Kakeya Theorem [3] is well-known in the theory of the distribution of zeros of polynomials:

$$P(z) = \sum_{j=0}^n a_j z^j$$

Theorem A: Let $P(z)$ be a polynomial of degree n whose coefficients satisfy

$$a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0 > 0$$

Then $P(z)$ has all its zeros in the closed unit disk $|z| \leq 1$.

In the literature there exist several generalizations and extensions of this result. Recently, Y. Choo [1] proved the following results:

$$P(z) = \sum_{j=0}^n a_j z^j$$

Theorem B: Let $P(z)$ be a polynomial of degree n such that for some real number $\lambda \neq 1$, $1 \leq k \leq n, a_{n-k} \neq 0$,

$$a_n \geq a_{n-1} \geq \dots \geq a_{n-k+1} \geq \lambda a_{n-k} \geq a_{n-k-1} \geq \dots \geq a_1 \geq a_0$$

If $a_{n-k-1} > a_{n-k}$, then $P(z)$ has all its zeros in the disk $|z| \leq K_1$, where K_1 is the greatest positive root of the equation

$$K^{k+1} - \delta_1 K^k - |\gamma_1| = 0$$

and

$$\gamma_1 = \frac{(\lambda - 1)a_{n-k}}{a_n}, \quad \delta_1 = \frac{a_n + (\lambda - 1)a_{n-k} - a_0 + |a_0|}{|a_n|}$$

If $a_{n-k} > a_{n-k+1}$, then $P(z)$ has all its zeros in the disk $|z| \leq K_2$, where K_2 is the greatest positive root of the equation

$$K^k - \delta_2 K^{k-1} - |\gamma_2| = 0$$

and

$$\gamma_2 = \frac{(1 - \lambda)a_{n-k}}{a_n}, \quad \delta_2 = \frac{a_n + (1 - \lambda)a_{n-k} - a_0 + |a_0|}{|a_n|}$$

Theorem C: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with $\operatorname{Re}(a_j) = \alpha_j$ and $\operatorname{Im}(a_j) = \beta_j$, $j=0,1,2,\dots,n$ and for some real numbers $\lambda \neq 1$, $1 \leq k \leq n, \alpha_{n-k} \neq 0$,

$$\alpha_n \geq \alpha_{n-1} \geq \dots \alpha_{n-k+1} \geq \lambda \alpha_{n-k} \geq \alpha_{n-k-1} \geq \dots \geq \alpha_1 \geq \alpha_0$$

$$\beta_n \geq \beta_{n-1} \geq \dots \geq \beta_1 \geq \beta_0$$

If $\alpha_{n-k-1} > \alpha_{n-k}$, then $P(z)$ has all its zeros in the disk $|z| \leq K_1$, where K_1 is the greatest positive root of the equation

$$K^{k+1} - \delta_1 K^k - |\gamma_1| = 0$$

and

$$\gamma_1 = \frac{(\lambda - 1)\alpha_{n-k}}{a_n},$$

$$\delta_1 = \frac{\alpha_n + (\lambda - 1)\alpha_{n-k} - \alpha_0 + |\alpha_0| + \beta_n - \beta_0 + |\beta_0|}{|a_n|}$$

If $\alpha_{n-k} > \alpha_{n-k+1}$, then P(z) has all its zeros in the disk $|z| \leq K_2$, where K_2 is the greatest positive root of the equation

$$K^k - \delta_2 K^{k-1} - |\gamma_2| = 0$$

and

$$\gamma_2 = \frac{(1 - \lambda)\alpha_{n-k}}{a_n},$$

$$\delta_2 = \frac{\alpha_n + (1 - \lambda)\alpha_{n-k} - \alpha_0 + |\alpha_0| + \beta_n - \beta_0 + |\beta_0|}{|a_n|}$$

$$P(z) = \sum_{j=0}^n a_j z^j$$

Theorem D: Let β be a polynomial of degree n such that for some real number β ,

$$|\arg a_j - \beta| \leq \alpha \leq \frac{\pi}{2}, j = 0, 1, \dots, n.$$

and for some $\lambda \neq 1$ and $a_{n-k} \neq 0$,

$$|a_n| \geq |a_{n-1}| \geq \dots \geq |a_{n-k+1}| \geq \lambda |a_{n-k}| \geq |a_{n-k-1}| \geq \dots \geq |a_1| \geq |a_0|.$$

If $|a_{n-k-1}| > |a_{n-k}|$ (i.e. $\lambda > 1$), then P(z) has all its zeros in the disk $|z| \leq K_1$, where K_1 is the greatest positive root of the equation

$$K^{k+1} - \delta_1 K^k - |\gamma_1| = 0$$

and

$$\gamma_1 = \frac{(\lambda - 1)a_{n-k}}{a_n},$$

$$\delta_1 = \frac{\{|a_n| + (\lambda - 1)|a_{n-k}|\}(\cos \alpha + \sin \alpha) + 2 \sin \alpha \sum_{j=0}^{n-1} |a_j|}{|a_n|}$$

If $|a_{n-k}| > |a_{n-k+1}|$ (i.e. $0 < \lambda < 1$), then P(z) has all its zeros in the disk $|z| \leq K_2$, where K_2 is the greatest positive root of the equation

$$K^k - \delta_2 K^{k-1} - |\gamma_2| = 0$$

and

$$\gamma_2 = \frac{(1 - \lambda)a_{n-k}}{a_n},$$

$$\delta_2 = \frac{\{|a_n| + (1 - \lambda)|a_{n-k}|\}(\cos \alpha + \sin \alpha) + 2 \sin \alpha \sum_{j=0}^{n-1} |a_j|}{|a_n|}$$

In this paper we generalize the above results with less restrictive conditions on the coefficients. In fact, we prove the following results:

$$P(z) = \sum_{j=0}^n a_j z^j$$

Theorem 1 : Let $P(z)$ be a polynomial of degree n such that for some real numbers $\rho \geq 0, 0 < \mu \leq 1, \lambda \neq 1, ,$
 $1 \leq k \leq n, a_{n-k} \neq 0,$

$$\rho + a_n \geq a_{n-1} \geq \dots \geq a_{n-k+1} \geq \lambda a_{n-k} \geq a_{n-k-1} \geq \dots \geq a_1 \geq \mu a_0.$$

If $a_{n-k-1} > a_{n-k},$ then $P(z)$ has all its zeros in the disk $|z| \leq K_1,$ where K_1 is the greatest positive root of the equation

$$K^{k+1} - \delta_1 K^k - |\gamma_1| = 0$$

and

$$\gamma_1 = \frac{(\lambda - 1)a_{n-k}}{a_n},$$

$$\delta_1 = \frac{2\rho + a_n + (\lambda - 1)a_{n-k} - \mu(a_0 + |a_0|) + 2|a_0|}{|a_n|}$$

If $a_{n-k} > a_{n-k+1},$ then $P(z)$ has all its zeros in the disk $|z| \leq K_2,$ where K_2 is the greatest positive root of the equation

$$K^k - \delta_2 K^{k-1} - |\gamma_2| = 0$$

and

$$\gamma_2 = \frac{(1 - \lambda)a_{n-k}}{a_n},$$

$$\delta_2 = \frac{2\rho + a_n + (1 - \lambda)a_{n-k} - \mu(a_0 + |a_0|) + 2|a_0|}{|a_n|}$$

Remark 1: Taking $\rho = 0, \mu = 1,$ Theorem 1 reduces to Theorem B.

Taking $\rho = 0,$ Theorem 1 gives the following result:

$$P(z) = \sum_{j=0}^n a_j z^j$$

Corollary 1: Let $P(z)$ be a polynomial of degree n such that for some real number $0 < \mu \leq 1, \lambda \neq 1, ,$
 $1 \leq k \leq n, a_{n-k} \neq 0,$

$$a_n \geq a_{n-1} \geq \dots \geq a_{n-k+1} \geq \lambda a_{n-k} \geq a_{n-k-1} \geq \dots \geq a_1 \geq \mu a_0.$$

If $a_{n-k-1} > a_{n-k},$ then $P(z)$ has all its zeros in the disk $|z| \leq K_1,$ where K_1 is the greatest positive root of the equation

$$K^{k+1} - \delta_1 K^k - |\gamma_1| = 0$$

and

$$\gamma_1 = \frac{(\lambda - 1)a_{n-k}}{a_n},$$

$$\delta_1 = \frac{a_n + (\lambda - 1)a_{n-k} - \mu(a_0 + |a_0|) + 2|a_0|}{|a_n|}$$

If $a_{n-k} > a_{n-k+1},$ then $P(z)$ has all its zeros in the disk $|z| \leq K_2,$ where K_2 is the greatest positive root of the equation

$$K^k - \delta_2 K^{k-1} - |\gamma_2| = 0$$

and

$$\gamma_2 = \frac{(1 - \lambda)a_{n-k}}{a_n},$$

$$\delta_2 = \frac{a_n + (1 - \lambda)a_{n-k} - \mu(a_0 + |a_0|) + 2|a_0|}{|a_n|}$$

$$P(z) = \sum_{j=0}^n a_j z^j$$

Theorem 2: Let be a polynomial of degree n with $\operatorname{Re}(a_j) = \alpha_j$ and $\operatorname{Im}(a_j) = \beta_j$, $j=0,1,2,\dots,n$ and for

some real numbers $\rho \geq 0, 0 < \mu \leq 1, \lambda \neq 1, 1 \leq k \leq n, \alpha_{n-k} \neq 0$,

$$\rho + \alpha_n \geq \alpha_{n-1} \geq \dots \alpha_{n-k+1} \geq \lambda \alpha_{n-k} \geq \alpha_{n-k-1} \geq \dots \geq \alpha_1 \geq \mu \alpha_0$$

$$\beta_n \geq \beta_{n-1} \geq \dots \geq \beta_1 \geq \beta_0$$

If $\alpha_{n-k-1} > \alpha_{n-k}$, then P(z) has all its zeros in the disk $|z| \leq K_1$, where K_1 is the greatest positive root of the equation

$$K^{k+1} - \delta_1 K^k - |\gamma_1| = 0$$

and

$$\gamma_1 = \frac{(\lambda - 1)\alpha_{n-k}}{a_n}$$

$$\delta_1 = \frac{2\rho + \alpha_n + (\lambda - 1)\alpha_{n-k} - \mu(\alpha_0 + |\alpha_0|) + 2|\alpha_0| + \beta_n - \beta_0 + |\beta_0|}{|a_n|}$$

If $\alpha_{n-k} > \alpha_{n-k+1}$, then P(z) has all its zeros in the disk $|z| \leq K_2$, where K_2 is the greatest positive root of the equation

$$K^k - \delta_2 K^{k-1} - |\gamma_2| = 0$$

and

$$\gamma_2 = \frac{(1 - \lambda)\alpha_{n-k}}{a_n}$$

$$\delta_2 = \frac{2\rho + \alpha_n + (1 - \lambda)\alpha_{n-k} - \mu(\alpha_0 + |\alpha_0|) + 2|\alpha_0| + \beta_n - \beta_0 + |\beta_0|}{|a_n|}$$

Remark 2: Taking $\rho = 0, \mu = 1$, Theorem 2 reduces to Theorem C.

Taking $\rho = 0$, Theorem 2 gives the following result:

$$P(z) = \sum_{j=0}^n a_j z^j$$

Corollary 2: Let be a polynomial of degree n with $\operatorname{Re}(a_j) = \alpha_j$ and $\operatorname{Im}(a_j) = \beta_j$, $j=0,1,2,\dots,n$ and for

some real numbers $0 < \mu \leq 1, \lambda \neq 1, 1 \leq k \leq n, \alpha_{n-k} \neq 0$,

$$\alpha_n \geq \alpha_{n-1} \geq \dots \alpha_{n-k+1} \geq \lambda \alpha_{n-k} \geq \alpha_{n-k-1} \geq \dots \geq \alpha_1 \geq \mu \alpha_0$$

$$\beta_n \geq \beta_{n-1} \geq \dots \geq \beta_1 \geq \beta_0$$

If $\alpha_{n-k-1} > \alpha_{n-k}$, then P(z) has all its zeros in the disk $|z| \leq K_1$, where K_1 is the greatest positive root of the equation

$$K^{k+1} - \delta_1 K^k - |\gamma_1| = 0$$

and

$$\gamma_1 = \frac{(\lambda - 1)\alpha_{n-k}}{a_n}$$

$$\delta_1 = \frac{\alpha_n + (\lambda - 1)\alpha_{n-k} - \mu(\alpha_0 + |\alpha_0|) + 2|\alpha_0| + \beta_n - \beta_0 + |\beta_0|}{|a_n|}$$

If $\alpha_{n-k} > \alpha_{n-k+1}$, then P(z) has all its zeros in the disk $|z| \leq K_2$, where K_2 is the greatest positive root of the equation

$$K^k - \delta_2 K^{k-1} - |\gamma_2| = 0$$

and

$$\gamma_2 = \frac{(1-\lambda)\alpha_{n-k}}{a_n},$$

$$\delta_2 = \frac{\alpha_n + (1-\lambda)\alpha_{n-k} - \mu(\alpha_0 + |\alpha_0|) + 2|\alpha_0| + \beta_n - \beta_0 + |\beta_0|}{|a_n|}$$

$$P(z) = \sum_{j=0}^n a_j z^j$$

Theorem 3: Let $P(z)$ be a polynomial of degree n such that for some real number β ,

$$|\arg a_j - \beta| \leq \alpha \leq \frac{\pi}{2}, j = 0, 1, \dots, n.$$

and for some $\lambda \neq 1$ and $a_{n-k} \neq 0$,

$$|\rho + a_n| \geq |a_{n-1}| \geq \dots \geq |a_{n-k+1}| \geq \lambda |a_{n-k}| \geq |a_{n-k-1}| \geq \dots \geq |a_1| \geq \mu |a_0|.$$

If $|a_{n-k-1}| > |a_{n-k}|$ (i.e. $\lambda > 1$), then $P(z)$ has all its zeros in the disk $|z| \leq K_1$, where K_1 is the greatest positive root of the equation

$$K^{k+1} - \delta_1 K^k - |\gamma_1| = 0$$

and

$$\gamma_1 = \frac{(\lambda-1)a_{n-k}}{a_n},$$

$$\delta_1 = \frac{[\rho + \{|\rho + a_n| + (\lambda-1)|a_{n-k}|\}(\cos \alpha + \sin \alpha) - \mu|a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1}^{n-1} |a_j|]}{|a_n|}$$

If $|a_{n-k}| > |a_{n-k+1}|$ (i.e. $0 < \lambda < 1$), then $P(z)$ has all its zeros in the disk $|z| \leq K_2$, where K_2 is the greatest positive root of the equation

$$K^k - \delta_2 K^{k-1} - |\gamma_2| = 0$$

and

$$\gamma_2 = \frac{(1-\lambda)a_{n-k}}{a_n},$$

$$\delta_2 = \frac{[\rho + \{|\rho + a_n| + (1-\lambda)|a_{n-k}|\}(\cos \alpha + \sin \alpha) - \mu|a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1}^{n-1} |a_j|]}{|a_n|}$$

Remark 3: Taking $\rho = 0, \mu = 1$, Theorem 4 reduces to Theorem D.

Taking $\rho = 0$, Theorem 3 gives the following result:

$$P(z) = \sum_{j=0}^n a_j z^j$$

Corollary 3: Let $P(z)$ be a polynomial of degree n such that for some real number β ,

$$|\arg a_j - \beta| \leq \alpha \leq \frac{\pi}{2}, j = 0, 1, \dots, n.$$

and for some $\lambda \neq 1$ and $a_{n-k} \neq 0$,

$$|a_n| \geq |a_{n-1}| \geq \dots \geq |a_{n-k+1}| \geq \lambda |a_{n-k}| \geq |a_{n-k-1}| \geq \dots \geq |a_1| \geq \mu |a_0|.$$

If $|a_{n-k-1}| > |a_{n-k}|$ (i.e. $\lambda > 1$), then $P(z)$ has all its zeros in the disk $|z| \leq K_1$, where K_1 is the greatest positive root of the equation

$$K^{k+1} - \delta_1 K^k - |\gamma_1| = 0$$

and

$$\gamma_1 = \frac{(\lambda - 1)a_{n-k}}{a_n},$$

$$\delta_1 = \frac{[{|a_n| + (\lambda - 1)|a_{n-k}|}](\cos \alpha + \sin \alpha) - \mu|a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1}^{n-1} |a_j|]}{|a_n|}.$$

If $|a_{n-k}| > |a_{n-k+1}|$ (i.e. $0 < \lambda < 1$), then $P(z)$ has all its zeros in the disk $|z| \leq K_2$, where K_2 is the greatest positive root of the equation

$$K^k - \delta_2 K^{k-1} - |\gamma_2| = 0$$

and

$$\gamma_2 = \frac{(1 - \lambda)a_{n-k}}{a_n},$$

$$\delta_2 = \frac{[{|a_n| + (1 - \lambda)|a_{n-k}|}](\cos \alpha + \sin \alpha) - \mu|a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1}^{n-1} |a_j|]}{|a_n|}.$$

II. LEMMAS

For the proofs of the above results, we need the following result:

Lemma 1: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n with complex coefficients such that

$|\arg a_j - \beta| \leq \alpha \leq \frac{\pi}{2}, j = 0, 1, \dots, n$, for some real β . Then for some $t > 0$,

$$|ta_j - a_{j-1}| \leq [t|a_j| - |a_{j-1}|] \cos \alpha + [t|a_j| + |a_{j-1}|] \sin \alpha.$$

The proof of lemma 1 follows from a lemma due to Govil and Rahman [2].

III. PROOFS OF THE THEOREMS

Proof of Theorem 1: We first prove that $K_1 > 1$ and $K_2 > 1$.

Let

$$f_1(K) = K^{k+1} - \delta_1 K^k - |\gamma_1|.$$

To prove $K_1 > 1$, it suffices to prove that $f_1(1) < 0$.

If $a_{n-k-1} > a_{n-k}$, then one of the following four cases happens:

- (a) $a_{n-k+1} \geq a_{n-k-1} > a_{n-k} > 0$ and $\lambda > 1$.
- (b) $a_{n-k+1} \geq a_{n-k-1} \geq 0 > a_{n-k}$ and $\lambda \leq 0$.

- (c) $a_{n-k+1} \geq 0 \geq a_{n-k-1} > a_{n-k}$ and $\lambda < 1$.
- (d) $0 \geq a_{n-k+1} \geq a_{n-k-1} > a_{n-k}$ and $0 < \lambda < 1$.

It is easy to see that $\gamma_1 > 0$ and $\delta_1 \geq 1 + \gamma_1$ for the cases (a), (b) and (c) and $\delta_1 \geq 1 + |\gamma_1|$ for the case (d). Thus $f_1(1) = 1 - \delta_1 - |\gamma_1| < 0$ and we have $K_1 > 1$.

Again, let

$$f_2(K) = K^k - \delta_2 K^{k-1} - |\gamma_2|$$

If $a_{n-k} > a_{n-k+1}$, then the four possible cases to occur are the following:

- (a) $a_{n-k} > a_{n-k+1} \geq a_{n-k-1} \geq 0$ and $0 \leq \lambda < 1$.
- (b) $a_{n-k} > a_{n-k+1} \geq 0 \geq a_{n-k-1}$ and $\lambda \leq 1$.
- (c) $a_{n-k} > 0 \geq a_{n-k+1} \geq a_{n-k-1}$ and $\lambda \leq 0$.
- (d) $0 > a_{n-k} > a_{n-k+1} \geq a_{n-k-1}$ and $\lambda > 1$.

For the first three cases $\gamma_2 > 0$ and $\delta_2 \geq 1 + \gamma_2$ and for the last case $\delta_2 \geq 1 + |\gamma_2|$. Hence $f_2(1) = 1 - \delta_2 - |\gamma_2| < 0$ and we have $K_2 > 1$.

Now, consider the polynomial

$$\begin{aligned} F(z) &= (1-z)P(z) \\ &= (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\ &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_{n-k+1} - a_{n-k})z^{n-k+1} + (a_{n-k} - a_{n-k-1})z^{n-k} \\ &\quad + (a_{n-k-1} - a_{n-k-2})z^{n-k-1} + \dots + (a_1 - a_0)z + a_0 \end{aligned}$$

If $a_{n-k-1} > a_{n-k}$, then $a_{n-k-1} > a_{n-k}$, and we have

$$\begin{aligned} F(z) &= -a_n z^{n+1} - (\lambda - 1)a_{n-k} z^{n-k} - \rho z^n + (\rho + a_n - a_{n-1})z^n + \dots + (a_{n-k+1} - a_{n-k})z^{n-k+1} \\ &\quad + (\lambda a_{n-k} - a_{n-k-1})z^{n-k} + (a_{n-k-1} - a_{n-k-2})z^{n-k-1} + \dots \\ &\quad + (a_1 - \mu a_0)z + (\mu - 1)a_0 z + a_0. \end{aligned}$$

For $|z| > 1$,

$$\begin{aligned} |F(z)| &\geq \left| a_n z^{n+1} + (\lambda - 1)a_{n-k} z^{n-k} \right| - \left| -\rho z^n + (\rho + a_n - a_{n-1})z^n + \dots + (a_{n-k+1} - a_{n-k})z^{n-k+1} \right. \\ &\quad \left. + (\lambda a_{n-k} - a_{n-k-1})z^{n-k} + (a_{n-k-1} - a_{n-k-2})z^{n-k-1} + \dots \right. \\ &\quad \left. + (a_1 - \mu a_0)z + (\mu - 1)a_0 z + a_0 \right| \\ &= |z|^{n-k} \left| a_n z^{k+1} + (\lambda - 1)a_{n-k} \right| - |z|^n \left| -\rho + (\rho + a_n - a_{n-1}) + \dots \right. \\ &\quad \left. + (a_{n-k+1} - a_{n-k}) \frac{1}{z^{k-1}} + (\lambda a_{n-k} - a_{n-k-1}) \frac{1}{z^k} + (a_{n-k-1} - a_{n-k-2}) \frac{1}{z^{k+1}} + \dots \right. \\ &\quad \left. + (a_1 - \mu a_0) \frac{1}{z^{n-1}} + (\mu - 1) \frac{1}{z^{n-1}} + \frac{a_0}{z^n} \right| \\ &> |z|^{n-k} \left| a_n z^{k+1} + (\lambda - 1)a_{n-k} \right| - |z|^n \left[\rho + (\rho + a_n - a_{n-1}) + \dots \right. \\ &\quad \left. + (a_{n-k+1} - a_{n-k}) + (\lambda a_{n-k} - a_{n-k-1}) + (a_{n-k-1} - a_{n-k-2}) + \dots \right. \\ &\quad \left. + (a_1 - \mu a_0) + (1 - \mu)|a_0| + |a_0| \right] \\ &= |z|^{n-k} \left| a_n z^{k+1} + (\lambda - 1)a_{n-k} \right| - |z|^n \left[2\rho + a_n + (\lambda - 1)a_{n-k} - \mu(a_0 + |a_0|) \right] \end{aligned}$$

$$+ 2|a_0|] > 0$$

if

$$\left| z^{k+1} + (\lambda - 1) \frac{a_{n-k}}{a_n} \right| > [2\rho + a_n + (\lambda - 1)a_{n-k} - \mu(a_0 + |a_0|) + 2|a_0|] |z|^k$$

or

$$\left| z^{k+1} + \gamma_1 \right| > \delta_1 |z|^k.$$

This inequality holds if

$$\left| z^{k+1} - |\gamma_1| \right| > \delta_1 |z|^k.$$

Hence all the zeros of F(z) whose modulus is greater than 1 lie in the disk $|z| \leq K_1$, where K_1 is the greatest positive root of the equation

$$K^{k+1} - \delta_1 K^k - |\gamma_1| = 0.$$

But the zeros of F(z) whose modulus is less than or equal to 1 are already contained in the disk $|z| \leq K_1$ since $K_1 > 1$. Therefore, all the zeros of F(z) and hence P(z) lie in $|z| \leq K_1$.

If $a_{n-k} > a_{n-k+1}$, then $a_{n-k} > a_{n-k-1}$, and we have

$$F(z) = -a_n z^{n+1} - (1 - \lambda)a_{n-k} z^{n-k+1} - \rho z^n + (\rho + a_n - a_{n-1})z^n + \dots + (a_{n-k+1} - \lambda a_{n-k})z^{n-k+1} + (a_{n-k} - a_{n-k-1})z^{n-k} + (a_{n-k-1} - a_{n-k-2})z^{n-k-1} + \dots + (a_1 - \mu a_0)z + (\mu - 1)a_0 z + a_0.$$

For $|z| > 1$,

$$\begin{aligned} |F(z)| &\geq \left| a_n z^{n+1} + (1 - \lambda)a_{n-k} z^{n-k+1} \right| - \left| -\rho z^n + (\rho + a_n - a_{n-1})z^n + \dots + (a_{n-k+1} - \lambda a_{n-k})z^{n-k+1} \right. \\ &+ (a_{n-k} - a_{n-k-1})z^{n-k} + (a_{n-k-1} - a_{n-k-2})z^{n-k-1} + \dots \\ &\left. + (a_1 - \mu a_0)z + (\mu - 1)a_0 z + a_0 \right| \\ &= |z|^{n-k+1} \left| a_n z^k + (1 - \lambda)a_{n-k} \right| - |z|^n \left[\rho + (\rho + a_n - a_{n-1}) + \dots \right. \\ &+ (a_{n-k+1} - \lambda a_{n-k}) \frac{1}{z^{k-1}} + (a_{n-k} - a_{n-k-1}) \frac{1}{z^k} + (a_{n-k-1} - a_{n-k-2}) \frac{1}{z^{k+1}} + \dots \\ &\left. + (a_1 - \mu a_0) \frac{1}{z^{n-1}} + (\mu - 1) \frac{1}{z^{n-1}} + \frac{a_0}{z^n} \right] \\ &> |z|^{n-k+1} \left| a_n z^k + (1 - \lambda)a_{n-k} \right| - |z|^n \left[\rho + (\rho + a_n - a_{n-1}) + \dots \right. \\ &+ (a_{n-k+1} - \lambda a_{n-k}) + (a_{n-k} - a_{n-k-1}) + (a_{n-k-1} - a_{n-k-2}) + \dots \\ &\left. + (a_1 - \mu a_0) + (1 - \mu)|a_0| + |a_0| \right] \\ &= |z|^{n-k+1} \left| a_n z^k + (1 - \lambda)a_{n-k} \right| - |z|^n [2\rho + a_n + (1 - \lambda)a_{n-k} - \mu(a_0 + |a_0|) \\ &+ 2|a_0|] \\ &> 0 \end{aligned}$$

if

$$\left| z^k + (1 - \lambda) \frac{a_{n-k}}{a_n} \right| > [2\rho + a_n + (1 - \lambda)a_{n-k} - \mu(a_0 + |a_0|) + 2|a_0|] |z|^{k-1}$$

or

$$|z^k + \gamma_2| > \delta_2 |z|^{k-1}.$$

This inequality holds if

$$|z|^k - |\gamma_2| > \delta_2 |z|^{k-1}.$$

Hence all the zeros of $F(z)$ whose modulus is greater than 1 lie in the disk $|z| \leq K_2$, where K_2 is the greatest positive root of the equation

$$K^k - \delta_2 K^{k-1} - |\gamma_2| = 0.$$

But those zeros of $F(z)$ whose modulus is less than or equal to 1 are already contained in the disk $|z| \leq K_2$ since $K_2 > 1$. Therefore, all the zeros of $F(z)$ and hence $P(z)$ lie in $|z| \leq K_2$.

That proves Theorem 1.

Proof of Theorem 2: Consider the polynomial

$$\begin{aligned} F(z) &= (1-z)P(z) \\ &= (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\ &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_{n-k+1} - a_{n-k})z^{n-k+1} + (a_{n-k} - a_{n-k-1})z^{n-k} \\ &\quad + (a_{n-k-1} - a_{n-k-2})z^{n-k-1} + \dots + (a_1 - a_0)z + a_0 \\ &= -a_n z^{n+1} + (\alpha_n - \alpha_{n-1})z^n + \dots + (\alpha_{n-k+1} - \alpha_{n-k})z^{n-k+1} \\ &\quad + (\alpha_{n-k} - \alpha_{n-k-1})z^{n-k} + (\alpha_{n-k-1} - \alpha_{n-k-2})z^{n-k-1} + \dots + (\alpha_1 - \mu\alpha_0)z \\ &\quad + (\mu-1)\alpha_0 z + \alpha_0 + i \sum_{j=1}^n (\beta_j - \beta_{j-1})z^j + i\beta_0 \end{aligned}$$

If $\alpha_{n-k-1} > \alpha_{n-k}$, then $\alpha_{n-k-1} > \alpha_{n-k}$, and we have

$$\begin{aligned} F(z) &= -a_n z^{n+1} - (\lambda-1)\alpha_{n-k} z^{n-k} - \rho z^n + (\rho + \alpha_n - \alpha_{n-1})z^n + \dots \\ &\quad + (\alpha_{n-k+1} - \alpha_{n-k})z^{n-k+1} + (\lambda\alpha_{n-k} - \alpha_{n-k-1})z^{n-k} + (\alpha_{n-k-1} - \alpha_{n-k-2})z^{n-k-1} + \dots \\ &\quad + (\alpha_1 - \mu\alpha_0)z + (\mu-1)\alpha_0 z + \alpha_0 + i \sum_{j=1}^n (\beta_j - \beta_{j-1})z^j + i\beta_0. \end{aligned}$$

For $|z| > 1$,

$$\begin{aligned} |F(z)| &\geq |a_n z^{n+1} + (\lambda-1)\alpha_{n-k} z^{n-k}| - |z|^n [\rho + (\rho + \alpha_n - \alpha_{n-1}) + \dots + (\alpha_{n-k+1} - \alpha_{n-k})] \frac{1}{|z|^{k-1}} \\ &\quad + (\lambda\alpha_{n-k} - \alpha_{n-k-1}) \frac{1}{|z|^k} + (\alpha_{n-k-1} - \alpha_{n-k-2}) \frac{1}{|z|^{k+1}} + \dots + (\alpha_1 - \mu\alpha_0) \frac{1}{|z|^{n-1}} \\ &\quad + \frac{(1-\mu)|\alpha_0|}{|z|^{n-1}} + \frac{|\alpha_0|}{|z|^n} - |z|^n [(\beta_n - \beta_{n-1}) + \dots + (\beta_1 - \beta_0)] \frac{1}{|z|^{n-1}} + \frac{|\beta_0|}{|z|^n} \\ &> |a_n z^{n+1} + (\lambda-1)\alpha_{n-k} z^{n-k}| - |z|^n [2\rho + \alpha_n + (\lambda-1)\alpha_{n-k} - \mu(\alpha_0 + |\alpha_0|) \\ &\quad + 2|\alpha_0| + \beta_n - \beta_0 + |\beta_0|] \\ &> 0 \end{aligned}$$

if

$$|z^{k+1} + \gamma_1| > \delta_1 |z|^k.$$

But this inequality holds if

$$|z|^{k+1} - |\gamma_1| > \delta_1 |z|^k$$

Hence, it follows that all the zeros of $F(z)$ whose modulus is greater than 1 lie in the disk $|z| \leq K_1$, where K_1 is the greatest positive root of the equation

$$K^{k+1} - \delta_1 K^k - |\gamma_1| = 0$$

As in the proof of Theorem 1, it can be shown that $K_1 > 1$, so that the zeros of $F(z)$ whose modulus is less than or equal to 1 are already contained in the disk $|z| \leq K_1$. Therefore, all the zeros of $F(z)$ and hence $P(z)$ lie in $|z| \leq K_1$.

Now,, suppose that $\alpha_{n-k} > \alpha_{n-k+1}$, then $\alpha_{n-k} > \alpha_{n-k-1}$, and we have

$$\begin{aligned} F(z) = & -a_n z^{n+1} - (1-\lambda)\alpha_{n-k} z^{n-k+1} - \rho z^n + (\rho + \alpha_n - \alpha_{n-1})z^n + \dots \\ & + (\alpha_{n-k+1} - \lambda\alpha_{n-k})z^{n-k+1} + (\alpha_{n-k} - \alpha_{n-k-1})z^{n-k} \\ & + (\alpha_{n-k-1} - \alpha_{n-k-2})z^{n-k-1} + \dots + (\alpha_1 - \mu\alpha_0)z \\ & + (\mu - 1)\alpha_0 z + \alpha_0 + i \sum_{j=1}^n (\beta_j - \beta_{j-1})z^j + i\beta_0. \end{aligned}$$

For $|z| > 1$,

$$\begin{aligned} |F(z)| \geq & |a_n z^{n+1} + (1-\lambda)\alpha_{n-k} z^{n-k+1}| - |z|^n [\rho + (\rho + \alpha_n - \alpha_{n-1}) + \dots + (\alpha_{n-k+1} - \lambda\alpha_{n-k})] \frac{1}{|z|^{k-1}} \\ & + (\alpha_{n-k} - \alpha_{n-k-1}) \frac{1}{|z|^k} + (\alpha_{n-k-1} - \alpha_{n-k-2}) \frac{1}{|z|^{k+1}} + \dots + (\alpha_1 - \mu\alpha_0) \frac{1}{|z|^{n-1}} \\ & + \frac{(1-\mu)|\alpha_0|}{|z|^{n-1}} + \frac{|\alpha_0|}{|z|^n} - |z|^n [(\beta_n - \beta_{n-1}) + \dots + (\beta_1 - \beta_0) \frac{1}{|z|^{n-1}} + \frac{|\beta_0|}{|z|^n}] \\ > & |a_n z^{n+1} + (1-\lambda)\alpha_{n-k} z^{n-k+1}| - |z|^n [2\rho + \alpha_n + (1-\lambda)\alpha_{n-k} - \mu(\alpha_0 + |\alpha_0|) \\ & + 2|\alpha_0| + \beta_n - \beta_0 + |\beta_0|] \\ > & 0 \end{aligned}$$

if

$$|z^k + \gamma_2| > \delta_2 |z|^{k-1}$$

But this inequality holds if

$$|z|^k - |\gamma_2| > \delta_2 |z|^{k-1}$$

Hence, it follows that all the zeros of $F(z)$ whose modulus is greater than 1 lie in the disk $|z| \leq K_2$, where K_2 is the greatest positive root of the equation

$$K^k - \delta_2 K^{k-1} - |\gamma_1| = 0$$

As in the proof of Theorem 1, it can be shown that $K_2 > 1$. Thus the zeros of $F(z)$ whose modulus is less than or equal to 1 are already contained in the disk $|z| \leq K_2$. Therefore, all the zeros of $F(z)$ and hence $P(z)$ lie in $|z| \leq K_2$ and the proof of Theorem 2 is complete.

Proof of Theorem 3: Consider the polynomial

$$\begin{aligned} F(z) &= (1-z)P(z) \\ &= (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\ &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_{n-k+1} - a_{n-k})z^{n-k+1} + (a_{n-k} - a_{n-k-1})z^{n-k} \end{aligned}$$

$$+ (a_{n-k-1} - a_{n-k-2})z^{n-k-1} + \dots + (a_1 - a_0)z + a_0 .$$

If $|a_{n-k-1}| > |a_{n-k}|$, then $|a_{n-k+1}| > |a_{n-k}|$, $\lambda > 1$ and we have,

$$F(z) = -a_n z^{n+1} - (\lambda - 1)a_{n-k} - \rho z^n + (\rho + a_n - a_{n-1})z^n + \dots + (a_{n-k+1} - a_{n-k})z^{n-k+1} \\ + (\lambda a_{n-k} - a_{n-k-1})z^{n-k} + (a_{n-k-1} - a_{n-k-2})z^{n-k-1} + \dots \\ + (a_1 - \mu a_0)z + (\mu - 1)a_0 z + a_0 .$$

For $|z| > 1$, by using Lemma1,

$$|F(z)| \geq |a_n z^{n+1} + (\lambda - 1)a_{n-k} z^{n-k}| - |\rho z^n + (\rho + a_n - a_{n-1})z^n + (a_{n-1} - a_{n-2})z^{n-1} + \dots + \\ (a_{n-k+1} - a_{n-k})z^{n-k+1} + (\lambda a_{n-k} - a_{n-k-1})z^{n-k} + (a_{n-k-1} - a_{n-k-2})z^{n-k-1} \\ + \dots + (a_1 - \mu a_0)z + (\mu - 1)a_0 z + a_0 |$$

$$\geq |a_n z^{n+1} + (\lambda - 1)a_{n-k} z^{n-k}| - |z|^n [|\rho + |\rho + a_n - a_{n-1}|| + \dots + \frac{|a_{n-k+1} - a_{n-k}|}{|z|^{k-1}}$$

$$+ \frac{|\lambda a_{n-k} - a_{n-k-1}|}{|z|^k} + \dots + \frac{|a_1 - \mu a_0|}{|z|^{n-1}} + \frac{(1 - \mu)|a_0|}{|z|^{n-1}} + \frac{|a_0|}{|z|^n}]$$

$$> |a_n z^{n+1} + (\lambda - 1)a_{n-k} z^{n-k}| - |z|^n [|\rho + |\rho + a_n - a_{n-1}|| + \dots + |a_{n-k+1} - a_{n-k}|$$

$$+ |\lambda a_{n-k} - a_{n-k-1}| + \dots + |a_1 - \mu a_0| + (1 - \mu)|a_0| + |a_0|]$$

$$\geq |a_n z^{n+1} + (\lambda - 1)a_{n-k} z^{n-k}| - |z|^n [|\rho + \{|\rho + a_n| + (\lambda - 1)|a_{n-k}|\}(\cos \alpha + \sin \alpha)$$

$$- \mu|a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=0, j \neq n-k}^{n-1} |a_j|]$$

> 0

if

$$|z^{k+1} + \gamma_1| > \delta_1 |z|^k$$

This inequality holds if

$$|z|^{k+1} - |\gamma_1| > \delta_1 |z|^k$$

and thus all the zeros of $F(z)$ and hence $P(z)$ with modulus greater than 1 lie in the disk $|z| \leq K_1$, where K_1 is the greatest positive root of the equation

$$K^{k+1} - \delta_1 K^k - |\gamma_1| = 0$$

It is easy to see that $K_1 > 1$ and all the zeros of $P(z)$ with modulus less than or equal to 1 are already contained in $|z| \leq K_1$.

Again, If $|a_{n-k}| > |a_{n-k+1}|$, then $|a_{n-k}| > |a_{n-k-1}|$, $\lambda < 1$ and we have,

$$F(z) = -a_n z^{n+1} - (1 - \lambda)a_{n-k} z^{n-k+1} - \rho z^n + (\rho + a_n - a_{n-1})z^n + \dots \\ + (a_{n-k+1} - \lambda a_{n-k})z^{n-k+1} + (a_{n-k} - a_{n-k-1})z^{n-k} + (a_{n-k-1} - a_{n-k-2})z^{n-k-1} + \dots \\ + (a_1 - \mu a_0)z + (\mu - 1)a_0 z + a_0 .$$

For $|z| > 1$, by using Lemma1,

$$|F(z)| \geq |a_n z^{n+1} + (1 - \lambda)a_{n-k} z^{n-k+1}| - |\rho z^n + (\rho + a_n - a_{n-1})z^n + (a_{n-1} - a_{n-2})z^{n-1} + \dots +$$

$$\begin{aligned}
 & (a_{n-k+1} - \lambda a_{n-k})z^{n-k+1} + (a_{n-k} - a_{n-k-1})z^{n-k} + (a_{n-k-1} - a_{n-k-2})z^{n-k-1} \\
 & + \dots + (a_1 - \mu a_0)z + (\mu - 1)a_0z + a_0 \quad | \\
 \geq & \left| a_n z^{n+1} + (1 - \lambda)a_{n-k} z^{n-k+1} \right| - |z|^n [\rho + |\rho + a_n - a_{n-1}| + \dots + \frac{|a_{n-k+1} - \lambda a_{n-k}|}{|z|^{k-1}} \\
 & + \frac{|a_{n-k} - a_{n-k-1}|}{|z|^k} + \dots + \frac{|a_1 - \mu a_0|}{|z|^{n-1}} + \frac{(1 - \mu)|a_0|}{|z|^{n-1}} + \frac{|a_0|}{|z|^n}] \\
 > & \left| a_n z^{n+1} + (1 - \lambda)a_{n-k} z^{n-k+1} \right| - |z|^n [\rho + |\rho + a_n - a_{n-1}| + \dots + |a_{n-k+1} - \lambda a_{n-k}| \\
 & + |a_{n-k} - a_{n-k-1}| + \dots + |a_1 - \mu a_0| + (1 - \mu)|a_0| + |a_0|] \\
 \geq & \left| a_n z^{n+1} + (1 - \lambda)a_{n-k} z^{n-k+1} \right| - |z|^n [\rho + \{|\rho + a_n| + (1 - \lambda)|a_{n-k}|\}(\cos \alpha + \sin \alpha) \\
 & - \mu|a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=0, j \neq n-k}^{n-1} |a_j|] \\
 & > 0
 \end{aligned}$$

if

$$|z^k + \gamma_2| > \delta_2 |z|^{k-1}.$$

This inequality holds if

$$|z|^k - |\gamma_2| > \delta_2 |z|^{k-1}$$

and thus all the zeros of $F(z)$ and hence $P(z)$ with modulus greater than 1 lie in the disk $|z| \leq K_2$, where K_2 is the greatest positive root of the equation

$$K^k - \delta_2 K^{k-1} - |\gamma_2| = 0.$$

It is easy to see that $K_2 > 1$ and all the zeros of $P(z)$ with modulus less than or equal to 1 are already contained in $|z| \leq K_2$. That proves Theorem 3.

REFERENCES

- [1] Y. Choo, Further Generalizations of Enestrom-Kakeya Theorem, Int. Journal of Math. Analysis. Vol. 5, 2011, no. 20, 983-995.
- [2] N. K. Govil and Q. I. Rahman, On the Enestrom-Kakeya Theorem, Tohoku Math. J., 20(1968), 126-136.
- [3] M. Marden, Geometry of Polynomials, IInd Ed. Math. Surveys, No. 3, Amer. Math. Soc. Providence, R. I, 1996.